

Memorandum

To: CHAIR AND COMMISSIONERS

CTC Meeting: February 1-2, 2006

Reference No.: 2.8b.(2)
Action Item

From: CINDY McKIM
Chief Financial Officer

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Transportation Programming

Ref: **REQUEST TO EXTEND THE PERIOD OF CONTRACT AWARD FOR NINE
SHOPP PROJECTS AND THREE STIP PROJECTS, PER FY 2005-06 STIP/SHOPP
ALLOCATION PLAN
WAIVER-06-03**

ISSUE:

On July 14, 2005, the California Transportation Commission (Commission) allocated construction funding totaling \$45,399,000 for nine SHOPP projects and \$157,396,000 for three STIP projects, as shown on the attached list. In accordance with the Commission's FY 2005-06 STIP/SHOPP Allocation Plan and Criteria, the deadline to award the contracts is January 31, 2006. The attachment also shows the details of the projects and the delays that have resulted in the extension request.

RECOMMENDATION:

The Department of Transportation (Department) recommends the Commission approve the time extensions, as indicated on the attachment.

BACKGROUND:

Resolution G-03-19, State Transportation Improvement Program (STIP) Guidelines, adopted by the Commission on December 11, 2003, stipulates that funds allocated for Caltrans construction are available for contract award for a period of 12 months from the date of allocation. The Guidelines further stipulate that the Commission may approve a waiver to the contract award deadline one time only for up to 20 months in accordance with Section 14529.8 of the Government Code.

At its July 2005 meeting, the Commission adopted the FY 2005-06 STIP/SHOPP Allocation Plan and Criteria, which limited FY 2005-06 allocations to projects ready to proceed to construction within six months. The FY 2005-06 STIP/SHOPP Allocation Plan and Criteria also requires the receiving agencies to request a time extension if the project will not be awarded within the six months.

2.8b.(2) Time Extension / Waiver - Contract Award

Project Number	District	County	Route	PPNO/EA	PROJECT LOCATION DESCRIPTION	Fund Source	Allocated Amount (x \$1,000)	REASON FOR DELAY	Department's Request	
									Months	until end of (month-yr)
1	2	Sha	5	3111 / 387301	In Shasta and Siskiyou Counties in and near Dunsmuir from 0.9 km north of Sims Road overcrossing to the Sacramento Bridge overhead. Project proposes to rehabilitate the pavement.	SHOPP	\$ 19,400	In July 2005, funds were allocated for this project in Shasta and Siskiyou counties for pavement preservation. The project advertised in September 2005 bids were opened October 25, 2005, one bid was received at 23 percent over the Engineer's Estimate. The lone bid was rejected and the district is proceeding to revise the project and repackage it to attract additional competitive bids within the allocated amount. The Department is requesting an award extension of six months in order to revise the project and repackage the PS&E.	6	July-06
2	2	SIS	96	3166 / 347101	In Siskiyou County near Seid Valley from, 0.3 km west to 0.3 km east of O'Neil Creek Bridge. Project will replace culverts with bridge.	SHOPP	\$ 1,692	In July 2005, funds were allocated for this project in Siskiyou County for culvert replacement with a bridge. In addition to the Department allocation, the California Department of Fish and Game contributed \$100,000 from the 2004/2005 Fisheries Restoration Grant Program toward the project. The project was advertised October 31, 2005. Bids were opened November 29, 2005. Four bids were received, of which the first low bidder was deemed not to have met the "Good Faith Effort" for Disadvantaged Business Enterprise(DBE) requirements of the contract. The second lowest bidder is currently being reviewed for DBE compliance. The District is proceeding to award to the lowest qualified bidder. The three low bids are all within the project allocation. The Department is requesting an award extension of three months in order to complete the DBE compliance to award to the lowest qualified bidder.	3	April-06
3	3	BUT	149	2264 / 4A8301	In Butte County, near the city of Oroville. The project proposes safety and operational improvements between Chico and Oroville. Improvements include widening State Route 149 and constructing interchanges at junctions with State Route 70 and State Route 99.	SHOPP	\$ 1,228	In July 2005, funds were allocated for this STIP/SHOPP combine project for safety and operational improvement in Butte County. The project was advertised on August 22, 2005, and bids were opened October 18, 2005. The bids were rejected at the recommendation of HQ Legal Counsel, due to a material defect that resulted in unbalanced bids. The material defect was corrected and the project was re-advertised December 5, 2005. The Department is requesting an award time extension of three months in order to open bids and award the project.	3	April-06
4	3	BUT	149	0016W / 3822U1	In Butte County, near the city of Oroville. The project proposes safety and operational improvements between Chico and Oroville. Improvements include widening State Route 149 and constructing interchanges at junctions with State Route 70 and State Route 99.	STIP	\$ 83,697	Combined with project #3 above	3	April-06
5	4	Son	101	0789A / 245411	In Sonoma County in the city of Santa Rosa from Route 12 to Steele Lane. The project proposes to widen Route 101 from four lanes to six to accommodate High Occupancy Vehicles. In addition, collector and distributor roads, auxiliary lanes, replacement of undercrossings, pedestrian overcrossing and the construction of soundwall.	STIP	\$ 51,327	In July 2005, funds were allocated for this project in Sonoma County. This project also received TCRP funds and is a design sequencing project. The project was advertised September 6, 2005, and bids were opened December 7, 2005. The lowest qualified bidder exceeds the Engineer's Estimate by more than 36 percent. The district has analysed the bid and has submitted a supplemental funds request for approval at February 2006 CTC meeting. The Department is requesting an award time extension of three months in order to secure additional funding and award the project.	3	April-06
6	4	CC	4	0075A / 150771	In Contra Cost County. The project will install Traffic Operations System(TOS) elements, such as changeable message signs, and closed circuit televisions.	SHOPP	\$ 2,114	In July 2005, funds were allocated for this project in Contra Costa County to install TOS elements the project was advertised in August 2005, bids opened September 21, 2005. There were five bidders, and the low bid was 43 percent above the Engineer's Estimate. The District is repackaging the project to be within the project allocation. The Department is requesting an award extension of six months in order to revise and repackage the project PS&E.	6	July-06

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Project Number	District	County	Route	PPNO/EA	PROJECT LOCATION DESCRIPTION	Fund Source	Allocated Amount (x \$1,000)	REASON FOR DELAY	Department's Request	
									Months	until end of (month-yr)
7	5	SB	101	4460 / 446011	In and near Santa Maria from 0.4 km south of South Santa Maria Way Undercrossing to Route 135/101 Separation. Project will widen existing four-lane freeway to a six-lane freeway, and construction sound walls.	STIP	\$ 22,372	In July 2005, funds were allocated for this project in Santa Maria to widen the freeway. The project was advertise on August 15, 2005, and the bids opened on September 28, 2005. There was only one bid which was 40 percent above the Engineer's Estimate. The District requested supplemental funds through the delegation process, and the request was denied. The District rejected the bid and is revising the project to attract competitive bids and stay within the project allocation. The Department is requesting an award extension of 12 months in order to revise and repackage the project PS&E.	6	July-06
8	7	LA	5	3234 / 140601	In Los Angeles County at various , the project will convert lighting circuits from mercury to high pressure sodium illumination and replace with more efficient upgraded circuits.	SHOPP	\$ 2,473	In July 2005, funds were allocated for this project in Los Angeles County to rehabilitate the lighting system. The project was advertised and the bids were opened on September 8, 2005,three bids were received, all exceeded the Engineer's Estimate. The District recommended to reject all bids. The District is rescoping the project to stay within the allocation. The Department is requesting an award extension of six months in order to rescope and repackage the project PS&E.	6	July-06
9	7	LA	5	3235 / 140701	In Los Angeles County at various , the project will convert lighting circuits from mercury to high pressure sodium illumination and replace with more efficient upgraded circuits.	SHOPP	\$ 2,860	In July 2005, funds were allocated for this project in Los Angeles County to convert the lighting system. The project was advertised and the bids were opened on October 6, 2005, two bids were received, both exceeded the Engineer's Estimate. The District recommended to award and request supplemental funds to award through the delegation process. The Commission denied the request for supplemental funds on January 11, 2006 and recommended that the District rescope the project to stay within the allocation. The Department is requesting an award extension of nine months in order to rescope and repackage the project PS&E.	9	October-06
10	11	SD	15	0677 / 2379U1	In San Diego County on route 15 from Route 94/15 Separation to the San Diego River Bridge , and on Route 805 from route 94/805 Separation to Route 8. The project will install transportation system management field elements, including inductive loop detectors, closed circuit television cameras, changeable message sign and fiber optics communication system for connection with Transportation Management Center.	SHOPP	\$ 7,268	In July 2005, funds were allocated for this project in San Diego County to install transportation system management field elements. The project was advertised on September 6, 2005 and bids opened on October 13, 2005. There was one bid and the District recommended to award. FHWA has issued an opinion that the low bidder did not meet the DBE good Faith Effort requirements. The low bidder had requested a Good Faith Hearing to appeal the FHWA ruling and has since withdrawn his request. The District will reject the bid, repackage and re-advertise the project. The Department is requesting an award time extension of six months in order to repackage the PS&E.	6	July-06
11	11	SD	75	0747 / 246501	In San Diego County in San Diego and Coronado from Glorietta Boulevard to the 75/5 connector overcrossing. The project will modify and install maintence facilities for the Coronado Bridge. There will be new painting cantainment system and equipment for the the Coronado Bridge.	SHOPP	\$ 8,300	In July 2005, funds were allocated for this project in San Diego County to modify and install a maintenance facilities for the Coronado Bridge. The contract was advertised on September 22, 2005 and again on October 20, 2005, and no bids were received. Due to the complex and specialized work of the contract, the contract has been unable to attract any potential prime Highway contractors to bid on the contract. The project is going to be divided into four smaller projects in order to attract bidders. The Department is requesting an award time extension of six months in order to repackage the PS&E.	6	July-06
12	12	ORA	VAR	2530K / 0E1001	In Orange County on Routes 5,55,57,91,405, and 605, at various locations. The project will upgrade ramp meters and construct maintenance vehicle pullouts.	SHOPP	\$ 985	In July 2005, funds were allocated for this project in Orange County to install and upgrade ramp meters. Project was advertised in August 2005, bids opened September 22, 2005, single bid at 50 percent above the Engneer's Estimate. District has submitted Supplemental Funds Request for February CTC meeting, in order to award. The Department is requesting an award time extension of one month to award with supplemental allocation.	6	July-06